PNAS www.pnas.org

1

2 Main Manuscript for

Sustainable use of groundwater dramatically reduces maize, soybean, and wheat production

5 Jose R. Lopez^{a,b*}, Jonathan M. Winter^a, Joshua Elliott^c, Alex C. Ruane^{d,e}, Cheryl Porter^f, Gerrit

6 Hoogenboom^g, Martha Anderson^h, Christopher Hainⁱ.

^aDepartment of Geography, Dartmouth College, Hanover, New Hampshire 03755, USA; ^bDepartment of Agronomy and Plant Genetics, University of Minnesota, Saint Paul, Minnesota 55108, USA; ^cComputation Institute, University of Chicago, Chicago, Illinois 60637, USA; ^eCenter for Climate Systems Research, Columbia University, New York, New York 10025, USA; ⁵NASA Goddard Institute for Space Studies, New York, New York 10025, USA; ^fAgricultural and Biological Engineering Department, University of Florida, Gainesville, Florida 32611, USA; ^gInstitute for Sustainable Food Systems, University of Florida, Gainesville, Florida 32611, USA; ^hUSDA ARS, Hydrology and Remote Sensing Laboratory, Beltsville, MD, 20705, USA; ⁱNASA Marshall Space Flight Center, Huntsville, AL, 35808, USA.

- 15 * Correspondence to: Jose R. Lopez.
- 16 Email: lopezj@umn.edu
- 17 Classification
- 18 Major: Biological Sciences. Minor: Physical Sciences
- 19 Keywords
- 20 Sustainable, irrigation, water, crop.

21 Author Contributions

J.R.L., J.M.W., J.E., G.H., C.P., and A.C.R designed the study. J.R.L., J.M.W., and J.E. ran crop model simulations, and J.R.L. and J.M.W. developed agricultural water supply scenarios. All authors analyzed

- results. J.R.L. and J.M.W. wrote the manuscript, with help from G.H., C.P., J.E., A.C.R, M.A., and C.H.
- 25
- 26 This PDF file includes:
- 27 Main Text
- 28 Figures 1 to 4
- 29 Table 1
- 30 SI Appendix
- 31

32 Abstract

33 The current rate of groundwater extraction in the United States is unsustainable, making it essential to 34 understand the impacts of limited water use on food production. Here, we integrate a gridded crop model 35 with satellite observations and water survey data to assess the effects of sustainable groundwater 36 withdrawals on US agricultural production. Using the most optimistic assumptions for groundwater 37 extraction, we find that sustainable groundwater use will decrease US irrigated production of maize, 38 sovbean, and winter wheat by 20%, 6%, and 25%, respectively. Using more conservative assumptions of 39 groundwater availability, US irrigated production of maize, soybean, and winter wheat will decrease by 45%, 40 37%, and 36%, respectively. Model uncertainty was assessed by comparing simulations with independent 41 estimates. Seasonal simulated evapotranspiration in agricultural areas between crop models and satellite 42 based estimates (ALEXI) were in agreement (R² = 0.68 across simulations). Additionally, model simulations 43 of total production per county and average yield were strongly correlated with survey data from the United 44 States Department of Agriculture (R² ranged 0.82-0.94 for county level production and 0.37-0.54 for yield 45 across crops). These results demonstrate the vulnerability of US agricultural production to unsustainable 46 groundwater pumping, highlighting the difficulty of expanding or even maintaining food production in the 47 face of climate change, population growth, and shifting dietary demands.

48

49 Significance Statement

50 To our knowledge, this study provides the most thorough and realistic continental-scale assessment of US 51 irrigated agricultural sustainability to date. Through our modeling approach, we identify regions that are 52 vulnerable to groundwater supply reductions, as well as the characteristics common across both vulnerable 53 and resilient agricultural districts. The results of this study have several important implications for 54 agricultural stakeholders and policymakers in the United States. First, it quantifies the national production 55 supported by unsustainable groundwater pumping. Second, by identifying vulnerable regions, it highlights 56 areas that can be targeted for enhanced groundwater management and irrigation efficiency initiatives. 57 Finally, the sensitivity of production losses across groundwater supply scenarios provides information about 58 the limitations of each agricultural district and context for climate change impacts assessments.

59

60 Introduction

61 Groundwater extraction in much of the United States (US) is unsustainable(1-4). Combined, the two major 62 aguifers in the US, the Ogallala in the High Plains and Central Valley in California, were depleted by 63 approximately 12.5 km³ and 3.1 km³ per year between 2003 and 2013, respectively(5). This depletion is 64 equivalent to 15% of the total groundwater extraction in the US in 2010(1). Between 2010 and 2015, 65 groundwater withdrawals for irrigation increased by 16%(2). Irrigation used 67% of the total US groundwater 66 extraction in 2015(2), and exceeds groundwater recharge across 15% of the contiguous US(3). 67 Groundwater extraction for irrigation must substantially decrease for US agricultural production to be 68 sustainable, but doing so would have a negative impact on both the US and world food supplies. The US 69 is responsible for 36% of the global maize production, 35% of the global soybean production, and 8 % of 70 the global wheat production(4). These crops occupy 52% of the US irrigated agricultural area (maize 22%, 71 soybean 17% and wheat 13%)(2). The tension between increasing food production and using water 72 sustainably raises two critical questions: how much groundwater can be extracted while still irrigating 73 sustainably, and what would the impacts of irrigating sustainably be on agricultural production?

74

75 Sustainable groundwater extraction for agriculture can be approximated using the concept of safe aquifer 76 yield. Safe aquifer yield is defined as the estimated sustainable groundwater extraction rate and based on 77 aquifer recharge rates(6). The safe aquifer yield over agriculturally intensive areas can be derived using a 78 water balance approach, where the aquifer recharge rate is calculated from water inflows (precipitation and 79 irrigation return flow) and outflows (runoff, evapotranspiration)(3). Aquifer recharge rates are highly 80 dependent on future precipitation, but future precipitation and drought are difficult to predict(7, 8). Therefore, 81 safe aquifer yields are typically set below recharge rates, and can vary based on approach(3). For example, 82 Miles and Chambet(6) proposed a conservative safe aquifer yield of 10% of recharge, while Hahn et al.(9) 83 estimated annual safe aguifer yields between 36% and 75% of recharge for different areas depending on 84 elevation. Here, we define the most optimistic safe aguifer yield as 100% of recharge, and the least 85 optimistic safe aquifer yield as 25% of recharge.

86

87 The impacts of reducing groundwater extraction on agricultural production can be addressed using a 88 spatially explicit, process-based crop model. Process-based crop models are capable of simulating the 89 impacts of climate and management practices on agricultural production at field to global scales(10-12). 90 To simulate areas larger than a field, process-based crop models are run over multiple grid cells. For each 91 grid cell, the model evaluates daily weather data, as well as information on soil properties, management, 92 and crop varieties. In this study, we used a parallel and gridded implementation of the Decision Support 93 System for Agrotechnology Transfer software (pDSSAT)(12–14), which contains a collection of process-94 based crop models. Process-based crop models have been evaluated extensively, in particular within the 95 Agricultural Model Intercomparison and Improvement Project (AgMIP)(10–12, 15).

96

97 Irrigation is critical to US agricultural production, yet unsustainable, and the impacts of reducing water use 98 are largely unknown. In this manuscript, we calibrate a gridded, process-based crop model, and use it to 99 assess the effects of sustainable water use on irrigated production of maize, soybean, and winter wheat 100 across the contiguous US (Fig. 1). We further test the sensitivity of these effects to safe aquifer yield to 101 determine the range of possible shifts in production that would result from using water sustainably.

102

103 **Results and Discussion**

104 National Impacts of Sustainable Agricultural Water Use

105 We assessed the impacts of sustainable groundwater use on irrigated agricultural production of maize, 106 soybean, and winter wheat in the US by examining the difference in production between pDSSAT 107 simulations with unlimited groundwater and pDSSAT simulations with groundwater reduced to a 108 sustainable level (see Methods). Nationally averaged, production losses for the most optimistic safe aquifer 109 vield (100% recharge) for maize, soybean, and wheat are 20%, 6%, and 25%, respectively, and production 110 losses for the least optimistic safe aquifer yield (25% recharge) for maize, soybean, and wheat are 45%, 111 37%, and 36%, respectively. The production losses for maize and wheat are higher than soybean for safe 112 aquifer yields of 50% recharge or more, but at the lowest safe aquifer yields soybean production drops 113 dramatically (Table 1).

115 The distribution of maize production loss is bimodal (Fig. 2), with some districts and years experiencing 116 near complete production loss (70% - 90%) even at the most optimistic safe aguifer yield, and other districts 117 experiencing more modest losses (10% - 30%). We assess the significance of changes in crop production 118 distributions for districts that experience losses using a two-sided Wilcoxon rank sum test (= 0.05). Maize 119 production losses are significant for the 25% ($p = 3.9 \times 10^{-4}$), 50% (p = 0.0037), and 75% (p = 0.019) 120 recharge scenarios. Soybean production is only significantly lower than the unlimited groundwater use 121 scenario for the least optimistic safe aguifer yield (p = 0.037), though even at higher safe aguifer yields 122 some districts experience production losses of 70% - 90%. Consistent with the nationally averaged losses, 123 soybean is particularly sensitive to the safe aquifer yield scenario, with relatively few districts experiencing 124 losses at the most optimistic safe aquifer yield (and therefore not included in Fig. 2), but many more at the 125 least optimistic. In contrast, for districts that experience losses, winter wheat production is significantly 126 different from the unsustainable scenario regardless of safe aquifer yield assumption ($p = 2.8 \times 10^{-4}$ for 127 25%, p = 1.5×10^{-3} for 50%, p = 4.9×10^{-3} for 75%, p = 0.02 for 100%), and changes in the distribution of 128 winter wheat losses are relatively insensitive to safe aquifer yield.

129

Together, these analyses highlight several key impacts of sustainable water use for irrigated agriculture at the national scale. First, even for the most optimistic safe aquifer yield scenario, there are a substantial number of districts with large (70% – 90%) losses for each crop. Second, in relatively optimistic water restriction scenarios (aquifer yields of 100% and 75% of recharge) the impacts on wheat and maize are large and the impacts on soybean are small. Finally, for the least optimistic safe aquifer yield (25% recharge) soybean losses dramatically increase and maize sustains the largest percentage production loss among the three crops considered.

137

138 Geographic Distribution of Sustainable Agricultural Water Use Impacts

Maize production losses are concentrated in southwestern Nebraska, western Kansas, northern Texas, and California for the most optimistic safe aquifer yield, but spread across most of Nebraska, intensify over western Kansas and northern Texas, and expand to the Mississippi Valley for the least optimistic safe aquifer yield (Fig. 3a-b). Production losses for the most optimistic safe aquifer yield overlie the two most 143 stressed groundwater sources in the United States: the Ogallala and Central Valley Aguifers. Qualitatively, 144 these losses agree with future projections of irrigated maize production across the Missouri and California 145 basins under water constraints(16). Irrigated maize production in the Midwest, including Iowa, Illinois, and 146 Indiana, is largely unaffected. As expected, there is a correspondence between production losses and 147 sustainable irrigation fraction (Fig. 4, see Methods), with production losses first appearing in areas with low 148 sustainable irrigation fractions at a safe aquifer yield of 100% of recharge, such as the High Plains and 149 Central Valley, and then expanding to regions such as the Mississippi Valley as decreasing access to 150 recharge (less optimistic safe aquifer yields) lowered sustainable irrigation fractions.

151

152 Soybean is mainly grown in more humid eastern areas of the US, including eastern Nebraska and the 153 Mississippi Valley, where there is greater aquifer recharge(3) and groundwater available for irrigation (Fig. 154 3c-d). Therefore, soybean production is less susceptible to reduced groundwater withdrawals for irrigation 155 at optimistic safe aquifer yields. However, at less optimistic safe aquifer yields, agricultural water demand 156 does exceed recharge, and soybean production is significantly impacted. Accordingly, losses for the most 157 optimistic safe aquifer yield are largely restricted to southern Nebraska, while for the least optimistic safe 158 aquifer yield losses cover extensive areas of eastern Nebraska and the Mississippi Valley. As with maize, 159 irrigated soybean production is largely unaffected in the Midwest and production losses are generally 160 greatest when the sustainable irrigation fraction is less than 0.5 (Fig. 4).

161

162 In contrast to soybean, irrigated winter wheat production is located primarily in the more arid regions of the 163 US with lower sustainable irrigation fractions (Fig. 4), and therefore vulnerable to even modest irrigation 164 reductions. For the most optimistic safe aquifer yield, production losses were split between the High Plains 165 states of Nebraska, Kansas, and Texas, and western states of Washington, California, Idaho, and Nevada 166 (Fig. 3e). Irrigated winter wheat is less sensitive to declining safe aquifer yields (i.e., production loss 167 difference between 100% recharge and 25% recharge) than maize and soybean. While losses do intensify 168 in the least optimistic safe aquifer yield, there is a substantial amount of production that is insensitive to 169 even aquifer yields of 25% recharge. This response is clear in Fig. S1c (SI Appendix), which shows 170 extensive production classified as red (production lost at 100% of recharge) and dark green (production

remaining at 25% of recharge). Fig. 3 and Supplementary Fig. 1c highlight that compared to maize and soybean, fewer new regions of irrigated winter wheat are affected by less optimistic safe aquifer yields, and that the same regions experiencing losses at more optimistic safe aquifer yields are further impacted at less optimistic aquifer yields.

175

176 Aggregated across maize, soybean, and wheat, the most sensitive region of the US to groundwater 177 restrictions is the High Plains. Five agricultural districts in this region show substantial yield reductions for 178 all three crops (Fig. 3) at the most optimistic safe aquifer yield: district 11, located in northern Texas; districts 179 10 and 30 in northwest and southwest Kansas, respectively; and districts 70 and 80 in the south of 180 Nebraska(17). These districts have four common attributes: high irrigated agricultural area (combined the 181 districts represent 11% of national irrigated agricultural area), large proportion of groundwater extraction for 182 irrigation (between 94% and 97%)(1), groundwater extraction rates more than three times the aquifer 183 recharge rates (4.0 times for district 11, 3.6 times for district 10, 3.2 times for district 30, 5.4 times for district 184 70, and 7.5 times for district 80)(3), and located over the Ogallala Aguifer. This reinforces previous studies 185 that have identified the Ogallala Aquifer as particularly vulnerable to changes in groundwater extraction 186 rates for irrigation(3, 5, 18). California, overlying the Central Valley Aquifer, also experiences large 187 reductions in maize and winter wheat yields; however, specialty crops dominate irrigated agriculture in 188 California, making production of, and therefore production losses of, staple crops smaller than in the High 189 Plains.

190

191 The Mississippi Valley, in contrast, is relatively unaffected by sustainable groundwater use. Only four 192 districts in the Mississippi Valley have both substantial corn and soybean production losses at the least 193 optimistic sustainable aquifer yield: district 90 in Missouri, district 30 in Arkansas, and districts 10 and 40 in 194 Mississippi (Fig. 3). At more optimistic safe aquifer yields, production in these districts is practically 195 unchanged (SI Appendix, Fig. S1). While these districts also have large overall irrigated agricultural area 196 (combined the districts represent 8% of the national irrigated agricultural area) and utilize most of the 197 groundwater they extract for irrigation (between 87% and 98%)(1), their groundwater extraction rate is less 198 than half of their aquifer recharge rate(3) (0.26 times for district 90, 0.48 times for district 30, 0.36 times for

district 10, and 0.4 times for district 40). Finally, across the upper Midwest, including Minnesota, Wisconsin,

200 Iowa, Illinois, Indiana, and Michigan, irrigated production of maize and soybean is largely unaffected (Fig.

201 3). Agricultural districts in these states are more humid and predominantly rainfed.

202

203 Conclusion

204 We show that sustainable agricultural water use, simulated by limiting groundwater use to available 205 recharge, reduces production of irrigated maize, soybean, and winter wheat by 20% - 45%, 6% - 37%, and 206 25% – 36%, respectively. Winter wheat and maize production are most vulnerable at optimistic safe aquifer 207 yields, while for the least optimistic safe aquifer yields maize is most vulnerable, followed by soybean and 208 winter wheat. The largest production losses occur across the High Plains states, especially Nebraska, 209 western Kansas, and northern Texas. This region is heavily reliant on groundwater from the Ogallala 210 Aquifer, and generally cannot support rainfed agriculture. California, drawing from the Central Valley 211 Aquifer, and other western states also experience reduced production, although they devote less area to 212 maize, soybean, and winter wheat. Production losses extend to the Mississippi Valley, especially for 213 soybean, at less optimistic safe aquifer yields.

214

215 We caveat that in our analysis, technology, management, cropping areas, and the fraction of total irrigation 216 water from groundwater are held fixed as water supply is reduced. There are a variety of adaptations such 217 as increased aquifer monitoring and management, irrigation efficiency, crop switching, reduced soil 218 evaporation, and deficit irrigation(19-23), that can decrease agricultural water use. We also focus on staple 219 crops, which account for the vast majority of irrigation across the Central US, but not in the western US 220 where specialty crops are substantial, and often the dominant, users of agricultural water. In addition, 221 international food trade has the potential to offset some of the negative impacts of irrigation water supply 222 shortages(24). We note that agricultural water sustainability is just one facet of an evolving national food 223 production system that is intricately linked to global markets. Climate change will have major impacts on 224 irrigated crop yields through changes in plant water use efficiency(25, 26), temperature(27, 28), and water 225 supply(29). For example, Elliott et al.(30) found greater production losses running global gridded crop 226 models without CO₂ effects than with CO₂ effects, where enhanced levels of CO₂ increased simulated plant water use efficiency. Elliott et al.(30) also identified currently rainfed agricultural areas that had the potential for irrigation, offsetting losses from irrigated regions that were no longer viable, but requiring substantial investment. Finally, Liu et al.(24) found that projections of increased precipitation actually make much of the currently unsustainable irrigated agriculture in the Central US sustainable by the year 2050.

231

232 Sustainable irrigated agriculture is vital to ensuring US food security and supporting the broader global food 233 system. Our study highlights the range of potential impacts on irrigated crops in the US from using 234 groundwater sustainably based on a physically constrained set of groundwater availability scenarios. Our 235 safe aquifer yield assumptions, which linearly scale the amount of accessible recharge, could also represent 236 the difference between immediate action to reduce groundwater consumption (most optimistic safe aquifer 237 yield) and delaying action (least optimistic safe aquifer yield) until the viability of irrigation from the Ogallala 238 and Central Valley Aquifers is compromised(18). The latter scenario would not only have a devastating 239 impact on heavily irrigated agricultural regions, and even some areas not usually thought to be groundwater 240 limited, but would also be community-altering, reduce US agricultural productivity, and have serious 241 repercussions for the global food supply.

242

243 Materials and Methods

244 Modeling Approach

245 We simulated maize, soybean, and wheat yields on a 5 arc-minute grid (approximately 9 km at the equator) 246 on a daily time step over a period of five years (2008 – 2012) using the Cropping System Models (CSMs) 247 CERES-Maize(31, 32), CROPGRO-Soybean(33, 34), and CERES-Wheat(35), respectively. Simulations 248 were conducted on the Dartmouth Discovery Cluster using the Decision Support System for Agrotechnology 249 Transfer (DSSAT), a widely used biophysical modeling platform that contains a suite of CSMs capable of 250 simulating more than 42 crops including all major staple crops(14, 36). The CSMs contained in DSSAT are 251 point-based biophysical models that run on a daily time step and simulate crop growth and development as 252 a function of weather, detailed soil profiles, cultivar specific parameters, and farm management. We ran 253 gridded simulations of DSSAT in parallel using the parallel System for Integrating Impact Models and

254 Sectors (pSIMS)(12), which has been applied to climate change and sustainability assessments across 255 large regions and the world (11, 30, 37, 38).

256

257 Input and Calibration Data

258 The model input data sources are summarized in Table S1 (SI Appendix). Weather data included incoming 259 solar radiation, maximum and minimum temperatures, and precipitation. The soil database contained 260 information for eight soil horizons for each grid, with attributes including bulk density, organic carbon, pH. 261 and water release curve characteristics. The initial conditions and parameters, including crop specific 262 parameters that simulate different cultivars, were similar to Glotter and Elliott(38). Crop specific land use 263 was obtained from the USDA Cropland Data Layer (CDL)(39, 40). USDA-CDL land use data were further 264 classified into irrigated and non-irrigated area using the Moderate Resolution Imaging Spectoradiometer 265 (MODIS) Irrigated Agriculture Dataset for the United States (MIrAD-US)(41). All irrigated grids from each 266 crop were simulated irrigated and rainfed in order to calculate irrigation water use efficiency (see below). 267 The DSSAT irrigation algorithm does account for growing season rainfall throughout the study, as it triggers 268 irrigation based on soil water status (42). However, we did not simulate deficit irrigation strategies in this 269 study.

270

271 Model Calibration

272 We calibrated the model in two steps, first adjusting evapotranspiration (ET) based on an independent ET 273 data source, and then calibrating the yield using county-level measurements from NASS. In DSSAT, ET is 274 computed using an implementation of the FAO-56 crop coefficient equations(43), which estimate crop ET 275 as a crop-type-dependent fraction of the potential ET (PET). To assess the reliability of these estimates, 276 DSSAT ET was compared to remotely sensed ET data from the Atmosphere Land Exchange Inverse 277 (ALEXI) model(44). ALEXI uses the morning surface temperature increase retrieved with the Geostationary 278 Operational Environmental Satellites (GOES) in a surface energy balance algorithm to estimate surface 279 energy and water fluxes at a 5 km resolution over the continental United States. Using a spatial 280 disaggregation technique, ALEXI output has been evaluated with flux tower measurements, yielding typical 281 root mean square errors of 1.0 and 0.4 mm d⁻¹ at daily and seasonal time scales, respectively(45). ALEXI

282 output was aggregated over time (growing season) and space (5 arc-minutes) to match pDSSAT growing 283 season simulations. Additionally, since 5 arc-minute cells may contain several sources of ET, including 284 lakes, forest, and various crops, we compared pDSSAT simulations only against aggregated ALEXI cells 285 covered by at least 50% of the crops simulated. We find a relatively small difference (4%) between 286 pDSSAT and ALEXI ET, which suggests that pDSSAT ET is reasonably simulated. While there are 287 uncertainties in the ALEXI ET product, because it does assimilate observed temperature to infer ET, we 288 chose to adjust pDSSAT daily evapotranspiration by 4% through a daily potential evapotranspiration 289 multiplier.

290

291 The benchmark for yield calibration was county level yearly yield data reported by the USDA NASS-Survey 292 (irrigated and rainfed). Crop photosynthesis at the agricultural district level was calibrated to improve model 293 simulations of yield using an ordinary least squares approach. Uncertainty in yield predictions was 294 evaluated using a leave one-out cross validation approach (SI Appendix, Table S2). Next, we evaluated 295 the calibrated model against USDA-NASS Survey county level production. Across the US, the correlation 296 between simulated and observed county level production over both space and time is high for all crops, 297 with R² values of 0.94, 0.91, and 0.82 for maize, soybean, and wheat, respectively (SI Appendix, Table S2). 298 Finally, evapotranspiration was re-evaluated against ALEXI ($R^2 = 0.68$) as it was affected by the calibration 299 of yield.. The calibrated version of pDSSAT predicts both yield and evapotranspiration better than the non-300 calibrated model. Cropped area within pDSSAT is accurate relative to USDA-NASS Survey data at the 301 county level, with R² values for maize, soybean, and wheat of 0.95, 0.96, and 0.88. The correlations 302 between pDSSAT simulations of maize, soybean, and rice yields and NASS measurements over time are 303 0.54, 0.52, 0.37. The bias in mean yield is below 10% for all crops, with maize simulated best and winter 304 wheat simulated worst (SI Appendix, Fig. S3 and Table S2). The performance of pDSSAT is comparable 305 to crop model performance for field-scale agricultural experiments(46, 47).

306

The modeling approach used to simulate maize, soybean, and wheat across the US is robust for most agricultural districts, with coefficient of determination between simulated and observed county level agricultural production over space and time generally higher than 0.5 for all three crops, both rainfed and

310 irrigated (Fig. 1). Typically, pDSSAT is more accurate for all crops in high producing areas, such as the 311 Midwest and the Mississippi Valley. This increase in accuracy is likely due to the dominance of the crop on 312 the landscape and the spatial resolution of pDSSAT. For intensive agricultural areas, the 5 arc-minute grid 313 cells used for the simulations provide a better representation of the environment in which the crops are 314 growing than in regions where the agricultural area covers a relatively limited fraction of the grid cell(48). 315 The accuracy of simulated production is notably higher for maize than soybean and winter wheat in 316 agricultural districts across the US, with the exception of California. The geographic distribution of the 317 coefficient of determination for soybean is relatively uniform, while correlations for winter wheat are highest 318 in Idaho, California, and the Mississippi Valley, and lowest across southwestern Kansas and northern Texas 319 (Fig. 1).

320

321 Calculation of sustainable irrigation water use

Our calculation of sustainable irrigation water use for each crop consisted of two steps. We first determined the sustainable irrigation fraction (*SF*), which is the fraction of the total water used for irrigation (ground and surface) that comes from surface water and sustainable groundwater extraction. We consider any extraction of water in excess of the aquifer sustainable yield to be unsustainable groundwater extraction. The *SF* was calculated at the agricultural district level using the following equation:

$$327 SF = \frac{SW + GW * \frac{R}{E} * AqY}{SW + GW} (Eq. 1)$$

328 Where SW is the district level surface water used for irrigation, GW is the groundwater used for irrigation, 329 R is the aquifer recharge rate, E is the district level total groundwater extraction, and AqY is the assumed 330 safe aguifer yield. Aguifer recharge rate estimates were from Reitz et al.(3). District level total groundwater 331 extraction, surface water used for irrigation, and groundwater used for irrigation where obtained from the 332 USGS report on estimated water use in the United States(1, 49). All variables were aggregated spatially to 333 the district level on a yearly time step to be used in the model simulations. Since there is substantial 334 uncertainty in future aquifer recharge rates, safe aquifer yield can only be approximated. Therefore, we 335 evaluated the sensitivity of SF to safe aquifer yield values between 25% and 100%.

337 Second, we estimated sustainable irrigation water use (*WUs*) by crop and district using the following 338 equation:

$$WU_S = WU_U * SF \tag{Eq. 2}$$

Where *WU_U* is the modeled water use for each crop and district, calculated by running pDSSAT with an assumption of unlimited water supply for each 5 arc-minute grid cell containing any irrigated area by crop. Sustainable irrigation water use was then multiplied by the area of irrigated agriculture for that crop, to aggregate the grid level water use available for each crop to the district level.

344

345 Estimation of the groundwater sustainability production losses

Unsustainable production was calculated by multiplying model simulated yield with unlimited water supply by irrigated area in each grid cell, and aggregating irrigated production from all grid cells across each district. Sustainable production was obtained by limiting the irrigated crop production based on *WU*_S for each safe aquifer yield to only the grid cells with the highest irrigation water use efficiency (IWUE). An example calculation of sustainable production is illustrated in Fig. S4 (*SI Appendix*). Irrigation water use efficiency for each grid was calculated with the following equation:

352

$$IWUE = \frac{Y_i - Y_r}{I}$$
(Eq. 3)

Where Y_i is irrigated yield, Y_r is rainfed yield, and *I* is irrigation amount. DSSAT was run for each irrigated grid, rainfed and irrigated, to obtain Y_i and Y_r . The production loss is the difference between unsustainable and sustainable production.

356

357 Acknowledgements

358 This study was funded by the United States Department of Agriculture National Institute of Food and

359 Agriculture (2015-68007-23133 and 2018-67003-27406), National Science Foundation (BCS 184018),

360 and Nelson A. Rockefeller Center at Dartmouth College.

361 This paper makes use of agronomic data provided by the United States Department of Agriculture

362 National Agricultural Statistics Service and the United States Geological Survey. We thank Jonathan

363 Chipman for his assistance with figures, John Hudson, David Kelly and Dartmouth Research Computing

364 for their help compiling and running pSIMS.

365	Refe	rences:
366	1.	M. A. Maupin, et al., "Estimated use of water in the United States in 2010" (US Geological Survey,
367		2014).
368	2.	C. A. Dieter, et al., "Estimated use of water in the United States in 2015" (US Geological Survey,
369		2018).
370	3.	M. Reitz, W. E. Sanford, G. B. Senay, J. Cazenas, Annual Estimates of Recharge, Quick-Flow
371		Runoff, and Evapotranspiration for the Contiguous US Using Empirical Regression Equations.
372		JAWRA J. Am. Water Resour. Assoc. 53, 961–983 (2017).
373	4.	U. S. D. of Agriculture, "World Agricultural Production" (2018).
374	5.	J. S. Famiglietti, The global groundwater crisis. Nat. Clim. Chang. 4, 945–948 (2014).
375	6.	J. C. Miles, P. D. Chambet, Safe yield of aquifers. J. Water Resour. Plan. Manag. 121, 1–8 (1995).
376	7.	C. M. Patricola, K. H. Cook, Mid-twenty-first century warm season climate change in the Central
377		United States. Part I: regional and global model predictions. Clim. Dyn. 40, 551–568 (2013).
378	8.	J. M. Winter, P. JF. Yeh, X. Fu, E. A. B. Eltahir, Uncertainty in modeled and observed climate
379		change impacts on American Midwest hydrology. Water Resour. Res. 51, 3635–3646 (2015).
380	9.	J. Hahn, Y. Lee, N. Kim, C. Hahn, S. Lee, The groundwater resources and sustainable yield of
381		Cheju volcanic island, Korea. Environ. Geol. 33, 43–53 (1997).
382	10.	F. Piontek, et al., Multisectoral climate impact hotspots in a warming world. Proc. Natl. Acad. Sci.
383		111 , 3233–3238 (2014).
384	11.	C. Rosenzweig, et al., Assessing agricultural risks of climate change in the 21st century in a global
385		gridded crop model intercomparison. Proc. Natl. Acad. Sci. 111, 3268-3273 (2014).
386	12.	J. Elliott, et al., The parallel system for integrating impact models and sectors (pSIMS). Environ.
387		Model. Softw. 62, 509–516 (2014).
388	13.	G. Hoogenboom, et al., Decision Support System for Agrotechnology Transfer (DSSAT) Version
389		4.5.1.023 (2012).
390	14.	J. W. Jones, et al., The DSSAT cropping system model. Eur. J. Agron. 18, 235–265 (2003).
391	15.	C. Rosenzweig, et al., The Agricultural Model Intercomparison and Improvement Project (AgMIP):
392		Protocols and pilot studies. Agric. For. Meteorol. 170, 166–182 (2013).

393 16. S. W. D. Turner, M. Hejazi, K. Calvin, P. Kyle, S. Kim, A pathway of global food supply adaptation
in a world with increasingly constrained groundwater. *Sci. Total Environ.* 673, 165–176 (2019).

395 17. USDA-NASS, Listing of Counties and Districts used by the USDA-NASS (2007).

- B. R. Scanlon, *et al.*, Groundwater depletion and sustainability of irrigation in the US High Plains
 and Central Valley. *Proc. Natl. Acad. Sci.* **109**, 9320–9325 (2012).
- 398 19. E. Fereres, M. A. Soriano, Deficit irrigation for reducing agricultural water use. *J. Exp. Bot.* 58,
 399 147–159 (2007).
- S. Rost, *et al.*, Global potential to increase crop production through water management in rainfed
 agriculture. *Environ. Res. Lett.* 4, 44002 (2009).
- 402 21. J. J. Butler Jr, D. O. Whittemore, B. B. Wilson, G. C. Bohling, Sustainability of aquifers supporting
 403 irrigated agriculture: a case study of the High Plains aquifer in Kansas. *Water Int.* 43, 815–828
 404 (2018).
- 405 22. K. F. Davis, *et al.*, Alternative cereals can improve water use and nutrient supply in India. *Sci. Adv.*406 4, eaao1108 (2018).
- 407 23. R. Q. Grafton, et al., The paradox of irrigation efficiency. Science (80-.). 361, 748–750 (2018).
- 408 24. J. Liu, T. W. Hertel, F. Taheripour, T. Zhu, C. Ringler, International trade buffers the impact of
 409 future irrigation shortfalls. *Glob. Environ. Chang.* 29, 22–31 (2014).
- T. F. Keenan, *et al.*, Increase in forest water-use efficiency as atmospheric carbon dioxide
 concentrations rise. *Nature* **499**, 324 (2013).
- 412 26. R. Guo, Z. Lin, X. Mo, C. Yang, Responses of crop yield and water use efficiency to climate
- 413 change in the North China Plain. *Agric. Water Manag.* **97**, 1185–1194 (2010).
- 414 27. C. Zhao, *et al.*, Temperature increase reduces global yields of major crops in four independent
 415 estimates. *Proc. Natl. Acad. Sci.* **114**, 9326–9331 (2017).
- 416 28. D. K. Ray, J. S. Gerber, G. K. MacDonald, P. C. West, Climate variation explains a third of global
 417 crop yield variability. *Nat. Commun.* 6, 5989 (2015).
- 418 29. I. Haddeland, *et al.*, Global water resources affected by human interventions and climate change.
 419 *Proc. Natl. Acad. Sci.* **111**, 3251–3256 (2014).
- 420 30. J. Elliott, *et al.*, Constraints and potentials of future irrigation water availability on agricultural

- 421 production under climate change. *Proc. Natl. Acad. Sci.* **111**, 3239–3244 (2014).
- 422 31. C. A. Jones, J. R. Kiniry, P. T. Dyke, *CERES-Maize: A simulation model of maize growth and*423 *development* (Texas AandM University Press, 1986).
- 424 32. J. T. Ritchie, U. Singh, D. C. Godwin, W. T. Bowen, "Cereal growth, development and yield" in
- 425 Understanding Options for Agricultural Production, G. Y. H. Tsuji G., P. K. Thornton, Eds. (1998),
- 426 р. 79.
- G. G. Wilkerson, J. W. Jones, K. J. Boote, K. T. Ingram, J. W. Mishoe, Modeling soybean growth
 for crop management. *Trans. ASAE* 26, 63–73 (1983).
- 429 34. K. J. Boote, J. W. Jones, G. Hoogenboom, N. B. Pickering, "The CROPGRO model for grain
 430 legumes" in *Understanding Options for Agricultural Production*, (Springer, 1998), pp. 99–128.
- 431 35. J. R. Ritchie, S. Otter, Description and performance of CERES-Wheat: a user-oriented wheat yield
 432 model. *ARS-United States Dep. Agric. Agric. Res. Serv.* (1985).
- 433 36. G. Hoogenboom, et al., Decision Support System for Agrotechnology Transfer (DSSAT) (2017).
- 434 37. J. Elliott, *et al.*, Predicting agricultural impacts of large-scale drought: 2012 and the case for better
 435 modeling (2013).
- 436 38. M. Glotter, J. Elliott, Simulating US agriculture in a modern Dust Bowl drought. *Nat. plants* 3,
 437 16193 (2016).
- 438 39. D. M. Johnson, R. Mueller, The 2009 Cropland Data Layer. *PE&RS, Photogramm. Eng. Remote* 439 Sens. **76**, 1201–1205 (2010).
- 440 40. N. Torbick, *et al.*, Fusion of moderate resolution earth observations for operational crop type
 441 mapping. *Remote Sens.* **10**, 1058 (2018).
- 442 41. M. S. Pervez, J. F. Brown, Mapping irrigated lands at 250-m scale by merging MODIS data and
 443 national agricultural statistics. *Remote Sens.* 2, 2388–2412 (2010).
- 444 42. J. Lopez, et al., Incorporating Automatic Deficit Irrigation into a Process Based Crop Model. Prep.
- 445 43. R. G. Allen, L. S. Pereira, D. Raes, M. Smith, "Crop Evapotranspiration. Guidelines for computing
 446 crop water requirements." in *Crop Evapotranspiration*, R. G. Allen, L. S. Pereira, D. Raes, M.

447 Smith, Eds. (FAO, 1998).

448 44. M. C. Anderson, J. M. Norman, J. R. Mecikalski, J. A. Otkin, W. P. Kustas, A climatological study

- 449 of evapotranspiration and moisture stress across the continental United States based on thermal
- 450 remote sensing: 1. Model formulation. J. Geophys. Res. Atmos. **112** (2007).
- 45. M. Anderson, *et al.*, Field-scale assessment of land and water use change over the California
 452 Delta using remote sensing. *Remote Sens.* **10**, 889 (2018).
- 453 46. J. R. Lopez, *et al.*, Integrating growth stage deficit irrigation into a process based crop model.
- 454 Agric. For. Meteorol. **243**, 84–92 (2017).
- 455 47. J. R. Lopez, J. E. Erickson, S. Asseng, E. L. Bobeda, Modification of the CERES grain sorghum
 456 model to simulate optimum sweet sorghum rooting depth for rainfed production on coarse textured
- 457 soils in a sub-tropical environment. *Agric. Water Manag.* **181**, 47–55 (2017).
- 458 48. J. W. Hansen, J. W. Jones, Scaling-up crop models for climate variability applications. *Agric. Syst.*459 65, 43–72 (2000).
- 460 49. J. F. Kenny, *et al.*, *Estimated use of water in the United States in 2005* (US Geological Survey
 461 Reston, VA, 2009).

462

464 **Figures and Tables**



- 465 466 467 Fig. 1. Crop model evaluation. Coefficient of determination for simulated and observed county level
- production by agricultural district for maize (a), soybean (b) and winter wheat (c). Color shows correlation, 468 and dot density shows the amount of production in each agricultural district.
- 469



470
471 Fig. 2. Production loss from sustainable groundwater use. Production losses across agricultural
472 districts and years for maize (a), soybean (b), and winter wheat (c) with four different assumed safe
473 aquifer yields. Only combinations of districts, years, and safe aquifer yields with a difference between
474 well-watered and sustainable production are shown. Across safe aquifer yields and years, 301, 270, and
475 287 districts experienced production losses for maize, soybean, and wheat, respectively.



Production remaining at 100% recharge
 Production remaining at 25% recharge
 Fig. 3. Distribution of production loss from sustainable groundwater use. Production losses by
 agricultural district for the most optimistic safe aquifer yield (100% recharge, left) and least optimistic safe
 aquifer yield (25% recharge, right) for maize (a,b), soybean (c,d), and wheat (e,f). All dots show total

480 irrigated production, brown dots show production lost due to sustainable groundwater use, and green dots

481 show sustainable production remaining.





Fig. 4. Spatial distribution of sustainable irrigation fraction. Sustainable irrigation fraction (SF) at 100% recharge (a), 75% recharge (b), 50% recharge (c), and 25% recharge (d). Blank districts have a SF 485 486 487 488 489 of 1 or more. Values of 1 or more indicate no pressure from agricultural water use on aquifer sustainability, and values of 0 indicate that there is no water available for irrigation because extraction is equal to or higher than recharge.

Table 1. Nationally averaged irrigated production losses from sustainable groundwater use over the

simulated period (2008 - 2012). Production from each simulated grid of maize (n = 47100), soybean (n = 33902), and wheat (n = 43180) were aggregated for each sustainable aquifer yield scenario to estimate national production.

	Production Loss							
Sustainable Aquifer Yield	Maize	Soybean	Winter Wheat					
100% Recharge	20%	6%	25%					
75% Recharge	24%	9%	27%					
50% Recharge	31%	15%	30%					
25% Recharge	45%	37%	36%					



496

497 Supplementary Information for

- 498 Sustainable use of groundwater dramatically reduces maize, soybean, and
- 499 wheat production
- 500 Jose R. Lopez, Jonathan M. Winter, Joshua Elliott, Alex C. Ruane, Cheryl Porter, Gerrit Hoogenboom, 501 Martha Anderson, Christopher Hain.
- 502 * Correspondence to: Jose R. Lopez.
- 503 Email: lopezj@umn.edu
- 504 This PDF file includes:
- 505

 506
 Figures S1 to S4

 507
 Table S1 to S2
- 507 Table S1 to S2 508



509 510 Figure S1. Distribution of production losses from sustainable groundwater use. Production losses 511 by agricultural district for a safe aquifer yield of 100% of recharge, 75% of recharge, 50% of recharge, 512 and 25% of recharge for maize (a), soybean (b), and wheat (c). All dots show unsustainable production, 513 red dots show unsustainable production lost at 100% recharge, orange dots show unsustainable 514 production lost at 75% – 100% recharge, yellow dots show unsustainable production lost at 50% – 75% 515 recharge, chartreuse dots show unsustainable production lost at 25% – 50% recharge, and green dots 516 show unsustainable production remaining due to sustainable water use, by agricultural district.



518 519

Figure S2. Comparison of simulated and observed evapotranspiration (R² = 0.68). Scatter plot of calibrated pDSSAT and ALEXI seasonal evapotranspiration for each grid cell with at least 50% of land covered with maize, soybean, and/or winter wheat.







DISTRICT $WU_s = WU_1 + WU_2 + WU_3$

528 529

Figure S4. Schematic of simulating sustainable production. Unsustainable water use (WU_U) was calculated by 530 531 aggregating the simulated water used from pDSSAT by district. This number was then multiplied by the sustainable fraction to obtain the sustainable water use (WUs) for each district. Agricultural production in each district was then 532 restricted to the grid cells that could be supplied with WUs, prioritizing grid cells with the greatest water use 533 efficiency (WUE).

Table S1. Data sources used to force pDSSAT, evaluate pDSSAT, and simulate sustainable agricultural
 536 production.

Data Tuna	Institution	Spatial Resolution	Temporal Resolution	Reference
Soil	Yat-sen University	30 arcseconds	NA	Shangguan, Wei, et al. Journal of Advances in Modeling Earth Systems6.1 (2014): 249-263
Weather	NASA	1000 m	1 day	<i>Thornton, P. E., et al. (2017).</i> Available at: https://daac.ornl.gov/cgi- bin/dsviewer.pl?ds_id=1328
Crop Specific Land Use	USDA	30 m	1 year	Boryan, C. et al. Geocarto Int. 26, 341–358 (2011).
Irrigation Land Use	USGS	250 m	5 years	Pervez, Md Shahriar, and Jesslyn F. Brown. Remote Sensing 2.10 (2010): 2388-2412.
Aquifer Recharge Rate	USGS	800 m	1 year	Reitz, Meredith, et al. JAWRA Journal of the American Water Resources Association 53.4 (2017): 961-983.
Evapotranspiration	USDA ARS	2.7 arcminutes		Anderson, Martha C., et al. Journal of Geophysical Research: Atmospheres 112.D10 (2007).
Initial Crop Parameters	University of Chicago	5 arc minute	1 year	Glotter, Michael, and Joshua Elliott. Nature plants 3.1 (2017): 16193.
Yield and production	USDA- NASS	County, National	1 year	Available at: https://quickstats.nass.usda.gov

- 539
 Table S2. Crop model evaluation against USDA NASS observed data for maize, soybean, and winter wheat, and ALEXI for evapotranspiration.

Variable CROP		Mean Observed		erved	RMSE		RRMSE		Percent Bias				R ²			
Production (Gg	Maize	172			62			36%			1%			0.94		
per County)	Soybean	56			19			34%			0%			0.91		
	Wheat	29			25			84%			2%			0.82		
Yield (kg ha ⁻¹)	Maize	7980	±	685ª	1828	±	172	23%	±	3%	-2%	±	5%	0.54	±	0.04
	Soybean	2624	±	108	550	±	38	21%	±	2%	5%	±	7%	0.52	±	0.08
	Wheat	3477	±	157	1136	±	52	33%	±	2%	-5%	±	9%	0.37	±	0.09
Area (km² per	Maize	186			52			28%			4%			0.95		
county) ª	Soybean	199			40			20%			-1%			0.96		
	Wheat	95			72			76%			15%			0.88		
ET (mm day ⁻¹)	Combined	3.56			0.40			11%			-3%			0.68		

^a Standard deviation from the mean from leave one out cross validation analysis.